

Influence of Process Dynamics on the Microbial Diversity in a Nitrifying Biofilm Reactor: Correlation Analysis and Simulation Study

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ABSTRACT: For engineers, it is interesting to gain insight in the effect of control strategies on microbial communities, on their turn influencing the process behavior and its stability. This contribution assesses the influence of process dynamics on the microbial community in a biofilm reactor for nitrogen removal, which was controlled according to several strategies aiming at nitrite accumulation. The process dataset, combining conventional chemical and physical data with molecular information, was analyzed through a correlation analysis and in a simulation study. During nitrate formation, an increased nitrogen loading rate (NLR) resulted in a drop of the bulk liquid oxygen concentration without resulting in nitrite accumulation. A biofilm model was able to reproduce the bulk liquid nitrogen concentrations in two periods before and after this increased NLR. As the microbial parameters calibrated for the ammonia-oxidizing bacteria (AOB) and nitrite-oxidizing bacteria (NOB) in both periods were different, it was concluded that the increased NLR governed an AOB and NOB population shift. Based on the molecular data, it was assumed that each period was typified by one dominant AOB and probably several subdominant NOB populations. The control strategies for nitrite accumulation influenced the bulk liquid composition by controlling the competition between AOB and NOB.

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Introduction

The microbial community composition in a reactor does not only influence its performance, but also its stability (Ramirez et al., 2009; Siripong and Rittmann, 2007; Wittebolle et al., 2008). Indeed, more diverse systems imply a greater pool of physiological and genetic traits, which provide them with the capacity to interchange and sustain functions under varying environmental conditions (Bellucci et al., 2015). From an engineering point of view, it is interesting to correlate microbial shifts to system performance (Winkler et al., 2013). Moreover, the engineering of wastewater treatment systems would be greatly improved if one also could control the associated microbial diversity (Yuan and Blackall, 2002). To achieve this goal, it is required to gain insight in the effect of control strategies on the microbial communities which on their turn influence the process behavior and/or its stability.

Techniques for biological nitrogen removal from wastewater based on ammonium oxidation to nitrite (nitrification) while preventing further oxidation to nitrate results in significant cost savings over conventional nitrification–denitrification over nitrite (Peng and Zhu, 2006; Turk and Mavinic, 1986; Verstraete and Philips, 1998). Various control strategies have been proposed to promote nitrite accumulation and prevent further nitrate formation, by favoring the ammonia-oxidizing bacteria (AOB) and inhibiting the nitrite-oxidizing bacteria (NOB): (i) pH control causing inhibition by free ammonia (FA) and free nitrous acid (FNA) of NOB, which is stronger than for AOB (Anthonisen et al., 1976); (ii) temperature control in combination with short sludge retention times to washout NOB (Lochman, 1995), as at elevated temperatures, AOB have a higher growth rate than NOB (Wiesmann, 1994); and (iii) control of the dissolved oxygen (DO) concentration (Bernet et al., 2001; Garrido et al., 1997), as NOB have a lower affinity for oxygen and are hence more sensitive to DO limitation than AOB (Jayamohan et al., 1988). Moreover, biofilm reactors display distinct advantages for the cultivation of the slow growing nitrifiers, due to their specific biomass retention characteristics (Nicolella et al., 2000; Ras et al., 2011; Xu et al., 2015).

Mathematical modeling has become an essential part of biological wastewater treatment (Henze et al., 2000) and is often

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used for optimization and prediction of process performance, and as a supporting tool for design (Lopez-Vazquez et al., 2009; Meijer et al., 2002). Over the last few years, various studies have illustrated the usefulness of mathematical models to gain process insight and to optimize the performance of biological (nitrogen removal) processes (Hao et al., 2002a,b; Volcke et al., 2012). Microbial diversity is typically neglected in conventional models, which at most make a distinction between functional guilds, that is, AOB and NOB in case of nitrification processes. Nevertheless, multispecies models including microbial diversity are useful tools to investigate in which way microbial population dynamics are influenced, for example, by various microbial properties (Vannecke and Volcke, 2015) or biofilm characteristics (Brockmann et al., 2013). They are also essential to study the relation between macroscopic reactor behavior and microbial population dynamics. Microbial population shifts may result in a different nitrifying performance (Downing and Nerenberg, 2008; Vannecke et al., 2014) but can also be masked by a constant macroscopic reactor behavior (Vannecke et al., 2015).

In this contribution, the influence of process dynamics on the microbial diversity in a nitrifying biofilm reactor, subjected to different control strategies for nitrite accumulation, is investigated. A unique data set combining both conventional chemical and physical data with molecular information for the nitrification process, and including both new and previously gathered experimental data (Bougard et al., 2006b), is analyzed. Through a correlation analysis and a modelling and simulation study insight is gained on the influence of the process dynamics and the control strategies on the microbial diversity and competition in a nitrifying biofilm reactor. Furthermore, the hypothesis that the population shift between *Nitrosomonas halophila* and *Nitrosomonas europaea*, observed before the control strategies for nitrite accumulation were implemented, was induced by an increased nitrogen loading rate, was tested.

Materials and Methods

Experimental Set-Up and Operational Conditions

Bougard et al. (2006b) investigated the impact of two control strategies to obtain nitrite accumulation (nitritation) in an inverse turbulent bed reactor (ITBR, Buffiere et al., 2000): (i) high temperature (35°C) control, in order to increase the free ammonia (FA) concentration and (2) manipulation of the nitrogen loading rate (NLR) through the liquid influent flow (Q_{in}) rate, to keep both the bulk liquid oxygen concentration (DO), and the effluent concentration of ammonium low, following a fuzzy logic control strategy. It should be noted that the objective of the latter strategy was to stimulate the microbial activity rather than the exact regulation of oxygen and/or ammonia concentration to keep a precise set point but (Bougard et al., 2006b). Four membership functions were defined on the oxygen concentration, three on the ammonia concentration and six on the influent flow rate (Supplementary Information, Fig. S1).

Both control strategies led to nitrite accumulation, but the fuzzy logic DO/ammonium controller did not affect the composition of the microbial community, while temperature control did. Besides, a major shift in the nitrifying community of the biofilm reactor took

place during the period of nitrate (NO_3^-) formation, before the control strategies for nitrite accumulation were implemented: *N. halophila* (AOB1) was completely replaced in the biofilm by *N. europaea* (AOB2) (Bougard et al., 2006b).

The reactor was filled for 20% of its active volume with solid biocarriers, on which the biomass grew, kept afloat by an upward current of air. The aeration was fixed at a flowrate of $2.88 \text{ m}^3 \cdot \text{d}^{-1}$. The reactor temperature was maintained at 30°C or 35°C and the pH around 7.2.

The experiment was run for 592 days. During continuous operation mode, the reactor was fed with synthetic wastewater, containing around $2000 \text{ g TNH} \cdot \text{m}^{-3}$ and $2.82 \cdot 10^3 \text{ g Viandox} \cdot \text{m}^{-3}$ or $583 \text{ g COD} \cdot \text{m}^{-3}$ as a carbon source (meat juice), using a conversion factor of $0.207 \text{ g COD} \cdot (\text{g Viandox})^{-1}$ (Bougard, 2004). Viandox is composed of components difficult to degrade and was added to the synthetic wastewater to simulate reject water of anaerobic digesters.

During reactor operation, the influent flow rate and the influent ammonium concentration, as well as the bulk liquid ammonium, nitrite and nitrate concentrations were monitored about every 2 days. Reactor temperature, pH and bulk liquid oxygen concentration (DO) were monitored online, every 2 min.

Further details on the experimental set-up, operational conditions and analytical methods can be found in Bougard et al. (2006a,b).

Microbiological and Molecular Methods

Molecular information on the bacterial community and ammonia-oxidizing guild published by Bougard et al. (2006b), based on Polymerase Chain Reaction—Single Strand Conformational Polymorphism (PCR-SSCP) combined with the cloning-sequencing technique, were complemented with new, unpublished data on the quantity and microbial diversity of the total bacterial and nitrifying community, based on quantitative PCR (qPCR) and Capillary Electrophoresis-Single Strand Conformation Polymorphism (CE-SSCP), respectively. Samples ($n=30$) were taken over the experimental period of 592 days during reactor operation. Preparation and storage of the samples, besides DNA extraction was done as reported in Braun et al. (2015).

qPCR of the Nitrifying Community

The quantity of total Bacteria, AOB and NOB was measured in samples of $5 \mu\text{L}$ diluted DNA taken from the nitrifying ITBR using qPCR-analysis. For the qPCR-analysis of the total bacterial community, the V3 variable region of 16S rRNA genes was amplified from total genomic DNA with the bacterial primers taken from Braun et al. (2015). For AOB, the gene coding for the enzyme ammonia monooxygenase (*amoA*), the functional gene for oxidation of ammonia to nitrite, was amplified using the forward primer from Kowalchuk et al. (1997), the reverse primer from Hermansson and Lindgren, (2001), and the probe from Graham et al. (2007). For NOB, the gene coding for the enzyme nitrite oxidoreductase (*nxrA*), the functional gene for oxidation of nitrite to nitrate, was amplified using the primers from Wertz et al. (2008). The fluorophores used were Yakima Yellow, FAM, and SybrGreen for the total community, AOB and NOB, respectively.

For the total bacterial community, AOB and NOB, two C_T -values (cycle threshold), defined as the number of cycles required for the fluorescent signal to cross the threshold, were obtained per sample. C_T -levels are inversely proportional to the amount of target nucleic acid in the sample. A standard curve, corresponding to the used fluorophore (Table I), was generated at each assay, using dilutions of PCR products from known environmental clones (Braun et al., 2015). The amount of total bacterial, AOB and NOB DNA was then calculated based on the standard equation (Eq. 1), and was used to calculate the fraction of AOB and NOB in the total bacterial community.

$$\log_{10}(\text{amount}) = \frac{(C_T - Y_{\text{intercept}})}{\text{slope}} \quad (1)$$

Although a small fraction of heterotrophs, possessing multiple (>3) gene copies of the 16S rRNA gene could be present, we can assume that due to the low C:N ratio of the influent (0.3) and the low biodegradability of the C-source (Viadox) the biofilm was mainly composed of nitrifiers, as the growth of heterotrophs on decay products can be neglected (Mozumder et al., 2014). Nitrifiers possess one copy of the 16S rRNA gene (Stoddard et al., 2015). As the focus was mainly on the relative fractions of AOB and NOB in the biofilm, the correction for gene copy number was not deemed necessary, because both *amoA* (Norton et al., 2002) and *nxrA* (Lücker et al., 2010; Poly et al., 2008) can be present in equal (2–3) amounts of gene copies per cell.

CE-SSCP of the Nitrifying Community

The total bacterial community in the biofilm was monitored by CE-SSCP as described by Braun et al. (2015). For specific CE-SSCP of the AOB of the β -subdivision, the total genomic DNA was first amplified with a PCR using specific primers (forward primers CTO189fA/B, CTO189fC, and reverse CTO654R) taken from Kowalchuk et al. (1997). Next, the V3 variable region of 16S rRNA genes was amplified from the PCR product using the same procedure as for the total bacterial community. For the specific CE-SSCP of the NOB, nitrite oxydoreductase (*nxrA*) was amplified (Wertz et al., 2008). The same primers as in Wertz et al. (2008) were used with an additional fluorophore (6-FAM) at the 3' end of the reverse primer.

The obtained SSCP profiles, with the number of peaks corresponding to the number of detected bacterial species/strains, were analyzed statistically using the StatFingerprints package in R (Braun et al., 2015; Michelland et al., 2009). The Simpson diversity index (D_{SSCP}) was calculated for each fingerprinting profile as $D_{\text{SSCP}} = -\ln \sum (\text{peak areas})^2$ (Loisel et al., 2008). This diversity

Table I. Standard curve parameters for the qPCR-analysis.

	Total bacterial community	AOB	NOB
Fluorophore	Yakima Yellow	FAM	SybrGreen
Slope	-3.342	-3.369	-3.310
Y-intercept	42.93	43.16	35.27
Efficiency	0.99	0.98	1.00
R^2	0.970	0.998	0.993

index reflects the underlying diversity from the SSCP profile independently of sample size (Rosenzweig, 1995): a low and high D_{SSCP} depicts a low and high diversity, respectively.

Correlation Analysis

To analyse the large amount of data on the process dynamics and the effect on the microbial dynamics, a correlation analysis was performed in IBM SPSS statistics 22 (Armonk, NY). The correlation was expressed using the Pearson product-moment correlation coefficient r ; $r=1$ indicates total positive correlation, $r=0$ no correlation, and $r=-1$ total negative correlation. Only correlations with a P -value smaller than 0.05 were considered significant. The correlation analysis of the physical and chemical data was based on 291 datapoints (290 for DO); the correlation analysis of the microbial community was based on 28 datapoints, as for 2 samples on which molecular data were retrieved, the corresponding physical and chemical data were unavailable.

Modelling the Dynamic Reactor Behavior

Reactor Model

A 1-dimensional biofilm model, including biomass variations perpendicular to the carrier on which the considered micro-organisms grow, was set up to describe the experimental data of Bougard et al. (2006b) and was implemented in the Aquasim software (Reichert, 1994). Growth and decay of AOB, NOB, and heterotrophs were considered to describe two-step nitrification besides COD removal, based on the model of Mozumder et al. (2014). In contrast to the latter model, anammox was not included in this study and a single state variable was used to describe heterotrophic biomass, while Mozumder et al. (2014) distinguishes three state variables for heterotrophic biomass based on the type of electron acceptor used. The model of Mozumder et al. (2014) was further extended with inhibition of AOB and NOB by FA and FNA (Jubany et al., 2009), temperature dependency of growth and decay rates (Hao et al., 2002a,b; Henze et al., 2000), temperature dependency of diffusion (Bernet et al., 2005), and temperature and pH dependency of the FA:TNH and FNA:TNO₂ fractions (Anthonisen et al., 1976).

The overall model stoichiometry and kinetics, besides the corresponding parameter values of the developed biofilm model are given in the Supplementary Information (Tables SI–SIII). The microbial characteristics were modelled in a deterministic way, assuming constant values for the microbial parameters (Table SIII), thus lumping the characteristics of each population. An alternative approach would be to a priori consider a large number of species per functional group, of which the microbial parameters are randomly chosen from a distribution function, thus adding a stochastic component to the model, as in Ramirez et al. (2009). However, since the aim of this study was to follow overall population dynamics, a deterministic approach was preferred.

The model in the current contribution describes biofilm growth on spherical particles with a radius of $73.5 \cdot 10^{-6}$ m in an ITBR with constant volume ($V=2.84 \cdot 10^{-3}$ m³). The total number of particles ($2 \cdot 10^8$ particles) was calculated based on the total

volume of particles ($5.68 \cdot 10^{-4} \text{ m}^3$) in the reactor and the volume of 1 particle ($1.7 \cdot 10^{-12} \text{ m}^3$). During the experiments about 80% ($1.6 \cdot 10^8$) of the particles were occupied (Bougard, 2004). The total amount of biomass of 17 g VSS or 23 g COD, using a conversion factor of $0.75 \text{ g VSS} \cdot (\text{g COD})^{-1}$ (Henze et al., 2000), was assumed to be divided homogeneously over the colonized particles, resulting in a steady state thickness of $LF_{SS} = 20 \cdot 10^{-6} \text{ m}$. It was assumed that steady state thickness had already been reached at the start of the simulations, as the experiment was preceded by a start-up period of 22 days.

The biofilm was assumed to be rigid, meaning that particulate components are displaced only by the expansion or shrinkage of the biofilm solid matrix. The biofilm porosity was assumed constant at 80%. An initial active biomass fractioning at the start of Period I of the heterotrophs was set as 0.01%. The remaining active biomass was assumed to be made up by 75% AOB and 25% NOB, according to the number of electrons exchanged by the oxidation of NH_4^+ to NO_2^- and from NO_2^- to NO_3^- , respectively.

The influent flow rate (=effluent flow rate), influent ammonium and COD concentration as well as the reactor temperature pH, and DO were implemented in the model, using the offline and online monitored data. So, rather than implementing the control strategies as such, controlled and/or manipulated variables were considered as dynamic model inputs, that is, temperature, DO, and liquid flow rate (Q_{in}).

Model Calibration

As the focus of this contribution is on the effect of process dynamics on the nitrifying community, no sensitivity analysis was performed but instead it was verified by trial and error whether the simplest possible model was able to simulate the overall reactor behavior (nitrite vs. nitrate accumulation, besides residual ammonium concentration) when only selected microbial parameters were calibrated. The selection of microbial parameters was based on the results of Vannecke and Volcke (2015) (importance of maximum growth rate and affinity for electron donor and acceptor) and Vannecke et al. (2014) (importance of endogenous respiration, in the current contribution replaced by decay).

First, only the microbial parameters of AOB known to have an important effect on bulk liquid composition, that is, maximum growth rate and affinity for ammonium and oxygen, see Vannecke and Volcke (2015) and previously performed sensitivity analyses (Brockmann and Morgenroth, 2007, 2010; Brockmann et al., 2008, 2013), were calibrated to the experimentally recorded bulk liquid concentrations of total ammonium (TNH), total nitrite (TNO_2), and nitrate (NO_3^-). By checking the fit of the simulation results with the observed overall process performance, it was found that besides the calibration of these microbial parameters for the AOB, these parameters also had to be calibrated for the NOB guild. Also FA and FNA inhibition of AOB and NOB had to be implemented in the model and the decay rate and inhibition constants for FA and FNA of both the AOB and the NOB guild had to be calibrated.

The values for decay rate, maximum growth rate, the affinity constants, and inhibition constants of both the AOB and NOB were estimated by minimizing the sum of the squares of the weighted deviations (χ^2) between the measurements and the simulation

results of the bulk liquid ammonium, nitrite and nitrate concentrations, using the Aquasim software (Reichert et al., 1995). The sum (χ^2) extends over all the data points of all variables specified as fit targets (TNH, TNO_2 , and NO_3^-), which were given equal weights ($\chi^2_{\text{tot}} = \chi^2_{\text{TNH}} + \chi^2_{\text{TNO}_2} + \chi^2_{\text{NO}_3}$).

The sum was minimized numerically using the secant algorithm (Ralston and Jennrich, 1978) and the maximum number of interactions was set at 200, in order to keep the computational time reasonable. The initial bulk liquid and biofilm concentrations of dissolved substances were assumed to be $0.01 \text{ g N} \cdot \text{m}^{-3}$ and the initial biomass concentrations in the biofilm were calculated from the biomass (viable + inerts) density, considering a volume fraction of 0.01 of heterotrophs, 0.1425 of AOB, and 0.0475 NOB. The biofilm thickness was assumed to be at steady state and the concentration of particulate inert components in the biofilm was assumed to be $0 \text{ g COD} \cdot \text{m}^{-3}$. When the whole period of reactor operation was calibrated in two separate periods, the initial values of the biofilm thickness, the dissolved (bulk and biofilm), and particulate (biofilm) components of the second period were the last simulation values of the previous period.

The parameter space or constraints concerning the microbial characteristics of AOB and NOB were based on the minimum and maximum values of the range reported in literature. The minimum and maximum values of the ranges for maximum growth rate and affinity constants were taken from Vannecke and Volcke (2015). The minimum and maximum values for decay rates were based on the ranges for maximum growth rate (Vannecke and Volcke, 2015) by defining the decay rate as 5% of the value for maximum growth rate. A review of inhibition constant values reported in literature was given in this contribution, providing the constraints for the parameter values of the inhibition constants. The starting (uncalibrated) value of all considered microbial parameters was the median value of the corresponding range.

For each simulation, the model accuracy was verified by calculating the Nash–Sutcliffe criterion (model efficiency E , see Nash and Sutcliffe, 1970) as given in Eq. 2, with y_i^m the i th observed value, y_i the corresponding calculated value and \bar{y}_m the mean value of the observations.

$$E = 1 - \frac{\sum_{i=1}^n (y_i^m - y_i)^2}{\sum_{i=1}^n (y_i^m - \bar{y}_m)^2} \quad (2)$$

Model efficiencies for the fit with bulk liquid concentrations of TNH (E_{TNH}), TNO_2 (E_{TNO_2}), and nitrate (E_{NO_3}) were calculated. If the model efficiency is lower than zero, the observed mean is a better predictor than the model, therefore, the model efficiency should be preferably be larger than 0 and close to the maximum of 1 (perfect fit between simulation and observations).

Simulation Set-Up

As the inclusion of diversity within functional guilds is only necessary when the reactor behavior is clearly influenced by changes in the microbial community (Vannecke et al., 2015), it was attempted to simulate the overall reactor behavior in terms of bulk liquid concentrations over the whole experimental period

with the simplest possible model. To this end, three different simulation approaches were followed (Table II). Firstly, the model considering 1 AOB, 1 NOB, and 1 heterotrophic population, neglecting diversity, was calibrated over the whole experimental period. As the simulation results of this approach (Approach 1) were not in correspondence with the overall reactor behavior, the model was subsequently calibrated over the two separate periods, period A (days 0–100) and period B (days 100–592), distinguished by the dominance of *N. halophila* and *N. europaea*, respectively (Bougard et al., 2006b) (Approach 2). As the simulation results of Approach 2 better reflected the overall behavior, the calibrated microbial parameters of both periods were used to construct two AOB and two NOB species which were then implemented in a single model, hereby considering within-guild diversity (Approach 3).

Results and Discussion

Correlation Analysis

Chemical and Physical Data

Four operational periods could be distinguished (Table III): period I (days 0–113) was typified with a temperature of 30°C and nitrate formation, period II (days 114–230) with temperature control to 35°C and nitrite accumulation, period III (days 231–491) with a temperature of 30°C and recovery to full nitrification (nitrate formation) by lowering the NLR and period IV (days 492–592) with a temperature of 30°C and fuzzy logic DO/ammonium control to achieve nitrite accumulation. An overview of the operational conditions during reactor operation is given in the Supplementary Information (Fig. S2).

Figure 1 displays the bulk liquid total ammonium (TNH), total nitrite (TNO₂), and nitrate concentrations (Fig. 1A) and the corresponding bulk liquid FA and FNA concentrations (Fig. 1B), calculated from the total ammonium and total nitrite concentrations, considering the reactor temperature and pH (Anthonisen et al., 1976). Table IV summarizes the results of the correlation analysis of the chemical and physical data.

Table II. Overview of the simulation set-up.

Approach	Period calibrated (days)	Nitrifying community (calibrated)
1	0–592	1 AOB + 1 NOB
2	Period A: 0–100 Period B: 100–592	1 AOB + 1 NOB
3	0–592	2 AOB + 2 NOB

In Approach 3, the two AOB and NOB populations are based on the calibrated values for period A and B from Approach 2.

The strongest significant correlation observed for temperature, was the negative correlation with nitrate ($r = -0.39$, $P < 0.05$, Table IV), confirming the lower production of nitrate at higher temperature, which was the basis for the temperature control strategy applied in Period II (Table IV).

The oxygen concentration in the bulk liquid was negatively correlated with the total ammonium concentration in the bulk liquid ($r = -0.21$, $P < 0.05$, Table IV) and with the nitrogen loading rate ($r = -0.45$, $P < 0.05$, Table IV). An increasing NLR and bulk liquid concentration of total ammonium thus result in a decreasing bulk liquid oxygen concentration for the prevailing fixed aeration flow rate, due to the increasing biological activity and oxygen consumption of the nitrifiers.

The FA concentration increased ($r = 0.74$, $P < 0.05$) and the FNA concentration decreased ($r = -0.29$, $P < 0.05$) with increasing pH (Table IV), following the expected patterns (Anthonisen et al., 1976). The strong correlation indicates that small deviations from the pH set-point (see Supplementary Information, Fig. S2) could have large effects on the FA and FNA concentration. The concentrations of FA and FNA observed in the bulk liquid were equal to or even higher than the median value of the reported FA inhibition constants for NOB and FNA inhibition constants for AOB and NOB (Fig. 2), indicating possible inhibition of the NOB by FA and the AOB and NOB by FNA.

Overall, from the correlation analysis of the physical and chemical data, it was concluded that temperature, DO and nitrogen loading rate, besides pH, had a large influence on the bulk liquid concentration of the different nitrogen compounds and thus constituted suitable control handles for process operation.

Table III. Periods distinguished during continuous operation (592 days) of the nitrifying reactor (Bougard et al., 2006b).

Period	Days	Dominant AOB	Temperature (°C)	Control strategies	Process performance
I	0–50 51–113	<i>N. halophila</i> <i>N. halophila</i> <i>N. europaea</i>	30	—	NO ₃ [−] accumulation
II	114–230	<i>N. europaea</i>	30 → 35	Temperature control	NO ₂ [−] accumulation
III	231–491	<i>N. europaea</i>	30	Lowering Q_{in}	Shift from NO ₂ [−] to NO ₃ [−] accumulation
IV	492–592	<i>N. europaea</i>	30	Fuzzy logic DO/ammonium control by adjusting Q_{in} (and thus NLR)	NO ₂ [−] accumulation

NLR, nitrogen loading rate and Q_{in} , liquid flow rate.

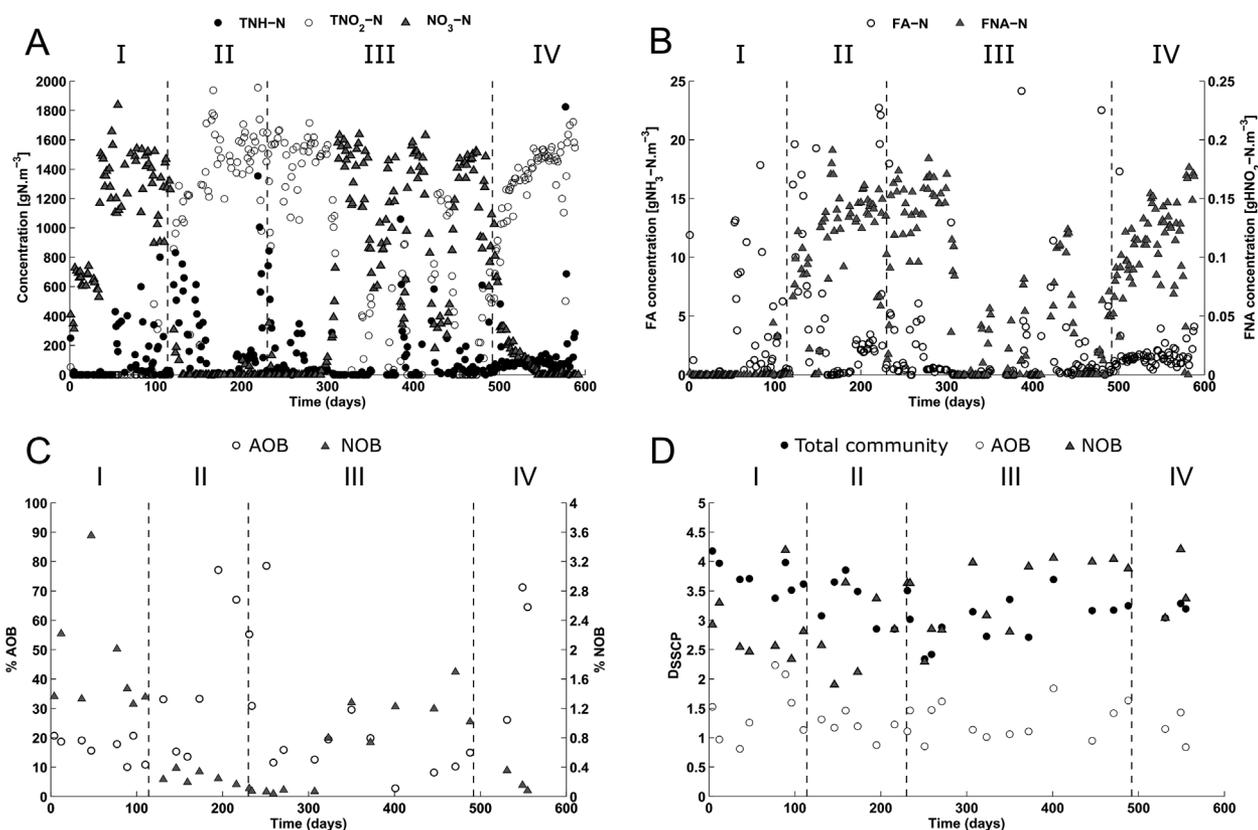


Figure 1. Macroscopic and microscopic dynamic behavior of the inverse turbulent bed reactor: (A) Bulk liquid concentrations of total ammonium (TNH), total nitrite (TNO₂) and nitrate, taken from Bougard et al. (2006b), (B) the corresponding free ammonia (FA) and free nitrous acid (FNA) concentrations, calculated based on reactor temperature and pH (Anthonisen et al., 1976), (C) the percentage of AOB and NOB in the microbial community, based on qPCR-analysis and (D) the diversity of the microbial community, analyzed using CE-SSCP and expressed as the negative logarithm of the Simpson index, D_{SSCP} . The roman numbers denote the different periods distinguished (Table III). Note the difference scales of the y-axis in plot B and C.

Microbial Community Information

The fraction of AOB and NOB in the biofilm (qPCR) and the diversity of the total and nitrifying community (CE-SSCP) are summarized in Figure 1C and D, respectively. In Table V, the correlation analysis considering the microbial community related to the chemical and physical data is given.

The fraction of AOB was positively correlated ($r = 0.63$, $P < 0.05$) with the total nitrite concentrations and the fraction of NOB was correlated negatively ($r = -0.78$, $P < 0.05$) with the nitrite concentration and positively ($r = 0.72$, $P < 0.05$) with the nitrate concentration (Table V). This indicates that the qPCR analysis based on the *amoA* and *nxrA* genes correctly targeted the AOB and the NOB, respectively.

The overall fraction of AOB ($28 \pm 21.86\%$) was higher than the fraction of NOB ($0.84 \pm 0.82\%$) in the biofilm (Fig. 1C), as expected from the yield differences in AOB and NOB (Winkler et al., 2012).

Logically, the fraction of NOB decreased in the periods of nitrite accumulation (Table III), reaching a minimum of 0.04% during period III (Fig. 1C). This low NOB content explains why it took so long before the system could reach again complete conversion of ammonium to nitrate in period III (Table III). The nitrogen loading rate had to be reduced several times (see Supplementary Information, Fig. S2) to relax the inhibitory conditions and oxygen limitation, in order to allow

NOB growth in the biofilm and get the system back to full nitrification, which was necessary to test an alternative control strategy for nitrite accumulation in Period IV. Elawwad et al. (2013) showed that NOB are indeed more sensitive than AOB to starvation and require longer periods for complete recovery.

Although diversity was calculated from SSCP profiles and only strong tendencies can be significant, some trends were visible (Fig. 1D). The diversity of the total community was maximal at day 4 ($D_{SSCP} = 4.18$), declined to its minimum ($D_{SSCP} = 2.34$) during Period III (Fig. 1D) and was negatively correlated with total nitrite ($r = -0.45$, $P < 0.05$) and FNA ($r = -0.48$, $P < 0.05$) concentrations, which shows total diversity decreased when nitrite accumulated. The diversity of the total community ($D_{SSCP} = 3.29 \pm 0.45$) resembled the diversity of the NOB ($D_{SSCP} = 3.17 \pm 0.66$), while the AOB diversity ($D_{SSCP} = 1.30 \pm 0.35$) was clearly lower (Fig. 1D). The fraction of AOB and NOB were indeed negatively ($r = -0.39$, $P < 0.05$) and positively ($r = 0.53$, $P < 0.05$) correlated with the total diversity, respectively (Table V). These observations indicate that the NOB diversity was higher than the AOB diversity.

The highest AOB diversity ($D_{SSCP} \approx 2$) was observed in period I around day 77 (Fig. 1D), which corresponds with the coexistence of *N. halophila* and *N. europaea* in the biofilm reported by Bougard

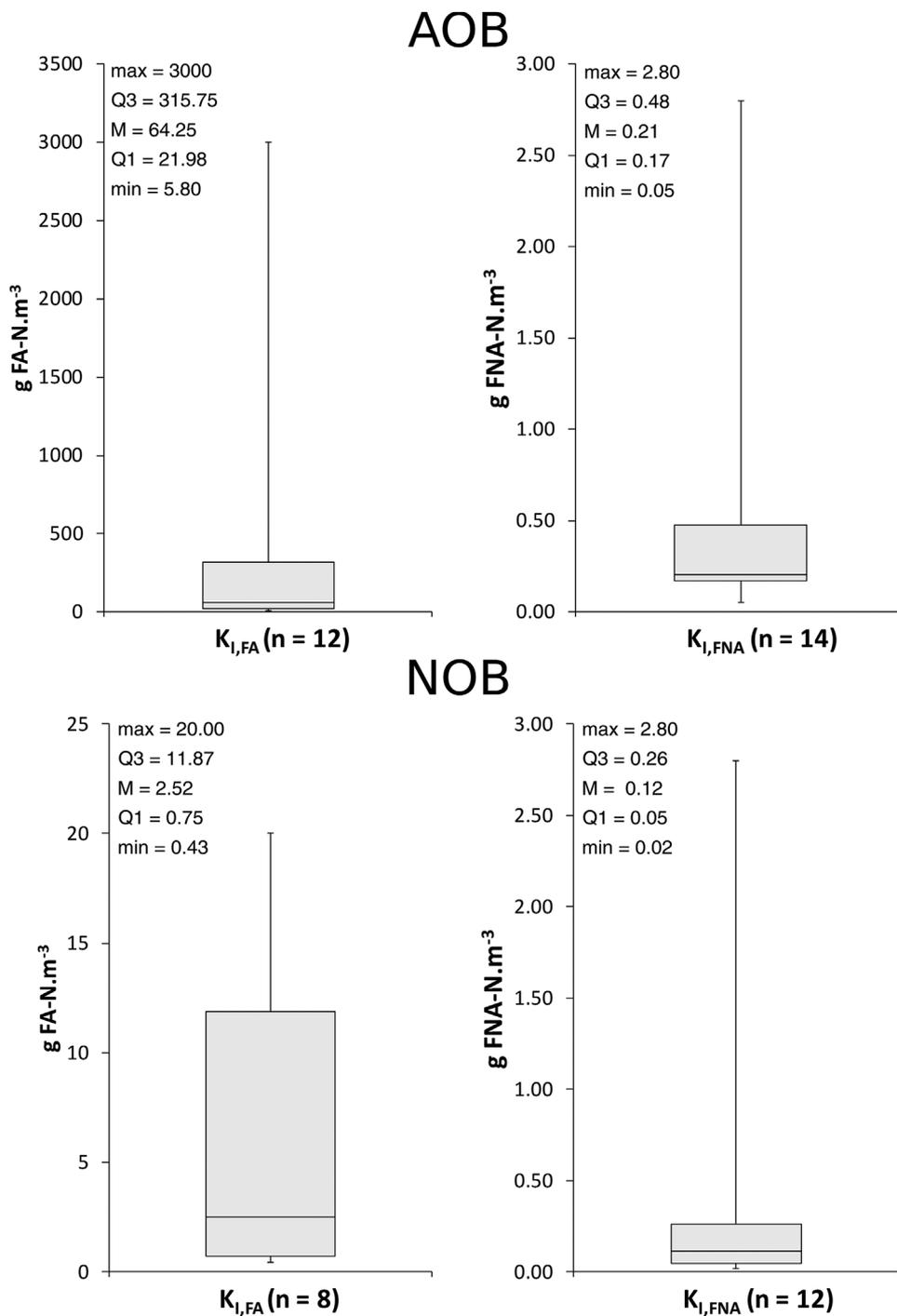


Figure 2. Boxplots representing the reported ranges for inhibition constants for FA ($K_{i,FA}$, left) and FNA ($K_{i,FNA}$, right) of AOB (top) and NOB (bottom) found in literature. Max = maximum value found in literature, Q3 = third quartile, M = median, Q1 = first quartile, and min = minimum value. The raw data of the literature review can be found in the Supplementary Information (Table SIV). It should be noted that FA inhibition of AOB and FNA inhibition of NOB is generally described by a Haldane term, while FNA inhibition of AOB and FA inhibition of NOB is described with a non-competitive inhibition term.

Approach 2: Conventional Model (1 AOB and 1 NOB) Distinguishing Two Periods

As it was not possible to simulate the whole experimental period with a single AOB and a single NOB population, the experimental dataset

was split up into the two periods, that is, Period A (0–100 days) and Period B (100–592 days) dominated by two different AOB species: *N. halophila* and *N. europaea*, respectively. The model was then calibrated individually for these periods and the relative changes of the parameter values over these two periods were verified.

Table V. Correlation matrix between data on the process conditions and the microbial community ($n=28$), including correlation coefficients r and P -values.

	Fraction AOB (%)	Fraction NOB (%)	Diversity total community (D')	AOB diversity (D')	NOB diversity (D')
TNH (g TNH-N · m ⁻³)	0.07 (0.71)	-0.34 (0.07)	-0.12 (0.53)	0.1 (0.6)	-0.16 (0.39)
TNO ₂ (g TNO ₂ -N · m ⁻³)	0.63 (P < 0.01)	-0.78 (P < 0.01)	-0.45 (0.01)	-0.22 (0.24)	0.04 (0.83)
NO ₃ (g NO ₃ -N · m ⁻³)	-0.57 (P < 0.01)	0.72 (P < 0.01)	0.24 (0.19)	0.27 (0.16)	0.2 (0.29)
FA (g NH ₃ -N · m ⁻³)	-0.07 (0.7)	-0.2 (0.3)	0.07 (0.72)	-0.01 (0.96)	-0.27 (0.14)
FNA (g HNO ₂ -N · m ⁻³)	0.64 (P < 0.01)	-0.73 (P < 0.01)	-0.48 (0.01)	-0.26 (0.16)	-0.02 (0.91)
Temperature (°C)	0.28 (0.13)	-0.34 (0.07)	0.06 (0.77)	-0.14 (0.45)	-0.36 (0.05)
DO (g O ₂ · m ⁻³)	0.04 (0.85)	-0.02 (0.9)	0.13 (0.48)	-0.29 (0.11)	0.18 (0.34)
pH	-0.09 (0.64)	-0.1 (0.6)	0.2 (0.3)	0.13 (0.49)	-0.27 (0.15)
NLR (g N · m ⁻³ · d ⁻¹)	0.14 (0.45)	0.1 (0.59)	0.07 (0.71)	0.42 (0.02)	-0.02 (0.93)
C/N-ratio (g COD · g N ⁻¹)	-0.19 (0.31)	0.3 (0.1)	0.33 (0.07)	-0.06 (0.76)	0.22 (0.25)
Fraction AOB (%)		-0.47 (0.01)	-0.39 (0.04)	-0.41 (0.02)	-0.09 (0.65)
Fraction NOB (%)			0.53 (P < 0.01)	0.22 (0.25)	-0.07 (0.71)
Diversity total community (D_{SSCP})				0.28 (0.14)	0.03 (0.88)
AOB diversity (D_{SSCP})					0.18 (0.35)
NOB diversity (D_{SSCP})					

Bold values refer to significant correlations (P -value < 0.05).

The process performance ($\chi^2_{tot} = 1334$) was better reflected when the model was calibrated for Period A ($\chi^2_{tot_A}$: 254; χ^2_{TNH} : 63; $\chi^2_{TNO_2}$: 109; $\chi^2_{NO_3}$: 82) and Period B ($\chi^2_{tot_B}$: 1080; χ^2_{TNH} : 693; $\chi^2_{TNO_2}$: 168; $\chi^2_{NO_3}$: 219) separately (Approach 2, Fig. 3B and C). Although the Nash Sutcliffe criterion was negative for total ammonium ($E_{TNH} = -0.11$), total nitrite ($E_{TNO_2} = -0.91$), and nitrate ($E_{NO_3} = -0.43$) in Period A and for total ammonium ($E_{TNH} = -1.61$) in Period B, the model efficiencies obtained were generally larger than for Approach 1. The model efficiencies for total nitrite ($E_{TNO_2} = 0.37$) and nitrate in Period B ($E_{NO_3} = 0.18$) were positive even though quite low, due to the large variability of the dataset. Visual inspection (Fig. 3B and C) confirmed that, following Approach 2, the model reflected the overall reactor behavior better. It was concluded that, to reflect the experimental observations, (at least) two periods had to be distinguished for model calibration: Period A (0–100 days) and Period B (100–592 days). These periods were based on the AOB population shift observed by Bougard et al. (2006b): in Period A, *N. halophila* was the dominant AOB, while in Period B, this species was completely replaced by *N. europaea*. The CE-SSCP data described in this study indicated that the AOB diversity was indeed the highest when both AOB coexisted, around day 50.

The calibrated microbial parameters of both AOB and NOB were different for both periods (Table VI). This indicates that besides the AOB shift, also changes in the NOB guild had taken place around day 100. Although identification of the dominant bacterial peaks of the SSCP profiles using the cloning-sequencing technique revealed no NOB, neither a NOB shift (Bougaard et al., 2006b), it is possible that besides the visible AOB shift, also undetected microbial community changes were occurring in the NOB guild.

The oxygen affinity constant of AOB clearly differed between Period A and Period B (Table VI). In Period A, the AOB guild is typified by a high oxygen affinity ($K_{O_2}^{AOB} = 0.078$ g O₂ · m⁻³) and a low maximum growth rate ($\mu_{max}^{AOB} = 0.65$ d⁻¹); in Period B by a lower oxygen affinity (higher $K_{O_2}^{AOB} = 0.71$ g O₂ · m⁻³) and a higher maximum growth rate ($\mu_{max}^{AOB} = 0.71$ d⁻¹). This indicates that the drop of DO concentrations

to very low values (between 0.3–0.7 g O₂ · m⁻³) at the end of period I (Table III), following an increase of the NLR (see Supplementary Information, Fig. S2), governed the observed AOB population shift from *N. halophila* to *N. europaea*, following the K- and r-strategy theory (Andrews and Harris, 1986) concerning oxygen, respectively. The observed diversity of AOB (Fig. 1D) was very low and declined further after *N. halophila* was washed out of the biofilm. It can thus be concluded that the calibrated microbial parameters for the AOB probably correspond with the observed species, that is, *N. halophila*, dominant during the most of Period A and *N. europaea*, dominant during Period B.

The NOB community also changed between the two periods. Just as for the AOB, the oxygen affinity of the NOB decreased from Period A ($K_{O_2}^{NOB} = 0.049$ g O₂ · m⁻³) to Period B ($K_{O_2}^{NOB} = 0.06$ g O₂ · m⁻³). However, the maximum growth rate of NOB decreased from period A ($\mu_{max}^{NOB} = 1.43$ d⁻¹) (to Period B ($\mu_{max}^{NOB} = 0.30$ d⁻¹), in contrast to the one of AOB. The NOB guild in period A being typified by a high growth rate (1.43 d⁻¹) and a high affinity for oxygen (0.049 g O₂ · m⁻³) does not exclude that several subdominant species of NOB, both r-strategists (high growth rate) and K-strategists (high oxygen affinity), were present in very low concentrations. The coexistence of several subdominant NOB species was judged likely from the high diversity of NOB expected based on the similarity of the NOB and total bacterial community diversity (Fig. 1D) and the decrease of the total bacterial community diversity when NOB were washed out (Table V). Furthermore, the coexistence of different NOB species had been observed before in biofilms (Downing and Nerenberg, 2008; Gieseke et al., 2003; Schramm et al., 1998). Therefore, the calibrated microbial parameters for Period A and Period B probably represented lumped parameters for different NOB populations originating from two different NOB guilds rather than from two different NOB species.

The reactor nitrogen concentrations in Period B could be simulated (Fig. 3C) through a model with 1 AOB and 1 NOB, neglecting diversity. This indicates that the applied control strategies for nitrite accumulation, that is, temperature control

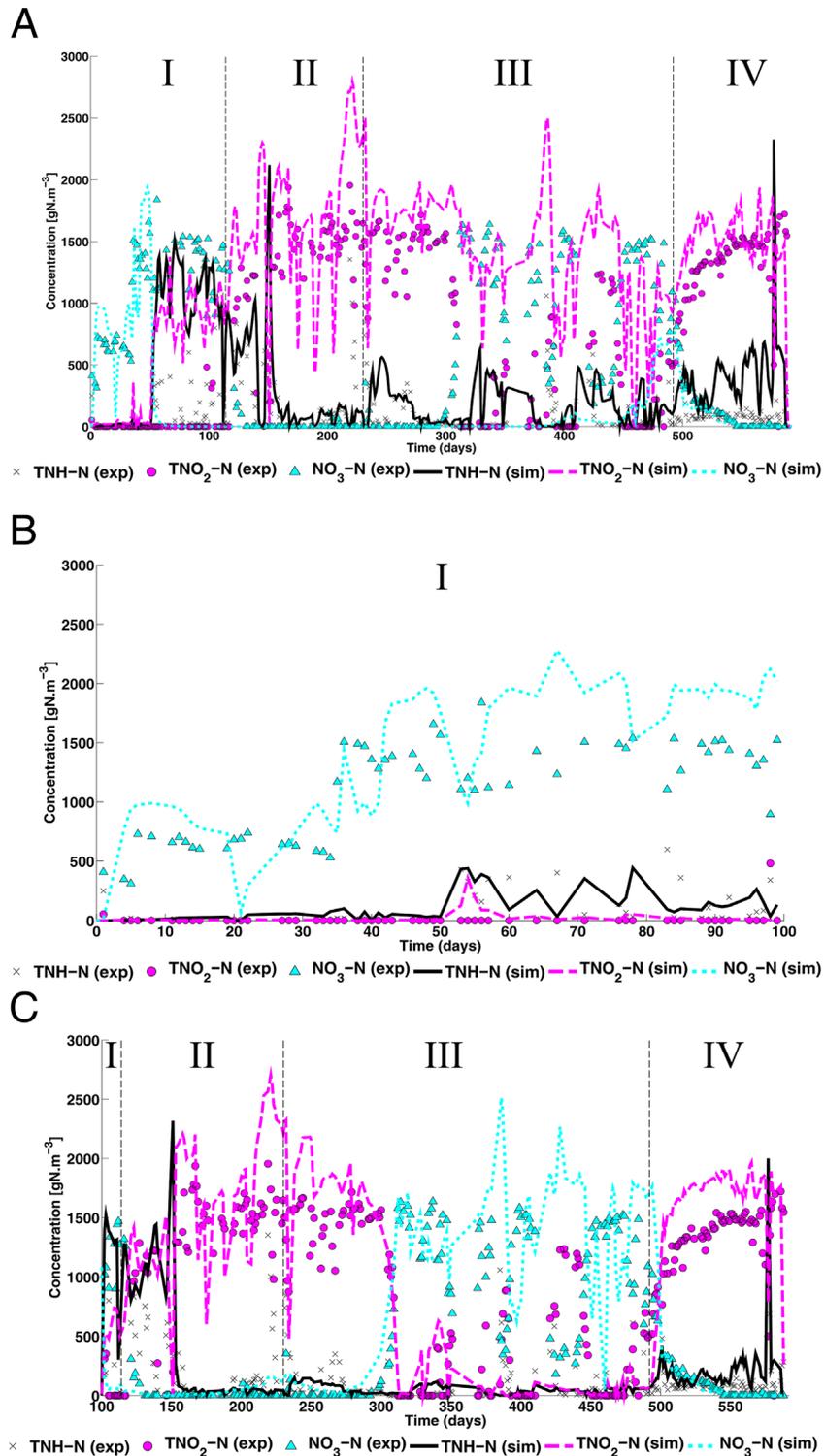


Figure 3. Simulation of the macroscopic reactor behavior observed during reactor operation using the calibrated 1-dimensional biofilm model considering growth and decay of 1 AOB and 1 NOB species, besides heterotrophs: (A) Approach 1, (B) Approach 2, Period A, and (C) Approach 2, Period B. The roman numbers denote the different periods distinguished (Table III).

and fuzzy logic DO/ammonium control, influenced the microbial community mainly on the level of guilds (AOB and NOB), as confirmed by the qPCR analysis. Possible changes in microbial diversity or population shifts within Period B as indicated by the

CE-SSCP analysis, that is, low NOB diversity during temperature control and higher NOB diversity during DO/ammonium fuzzy logic control, did not influence the reactor behavior instantaneously. Model extension with within-guild diversity is only necessary when

Table VI. Constraints and calibrated microbial parameters values for AOB and NOB at 30°C and pH 7.5 when two periods were calibrated, that is, Period A: day 0–100 and Period B: day 100–592 (Approach 2).

		Starting (median) value	Minimum value	Maximum value	Calibrated value Period A	Calibrated value Period B
$K_{I,FA}^{AOB}$	$g\ FA-N \cdot m^{-3}$	64.25	5.80	3000	490.51	489.05
$K_{I,FA}^{NOB}$	$g\ FA-N \cdot m^{-3}$	2.52	0.43	20	12.93	10.06
$K_{I,FNA}^{AOB}$	$g\ FNA-N \cdot m^{-3}$	0.21	0.05	2.80	0.42	0.21
$K_{I,FNA}^{NOB}$	$g\ FNA-N \cdot m^{-3}$	0.12	0.02	2.80	0.27	0.13
K_{FA}^{AOB}	$g\ FA-N \cdot m^{-3}$	0.23	0.0018	1.29	1.25	1.29
K_{FNA}^{NOB}	$g\ FNA-N \cdot m^{-3}$	1.04e-004	3.12e-006	2.41e-003	0.0015	0.0024
$K_{O_2}^{AOB}$	$g\ O_2 \cdot m^{-3}$	0.40	0.07	3	0.078	0.71
$K_{O_2}^{NOB}$	$g\ O_2 \cdot m^{-3}$	0.97	0.04	4.01	0.049	0.06
μ_{max}^{AOB}	d^{-1}	1.34	0.33	3.40	0.65	0.71
μ_{max}^{NOB}	d^{-1}	1	0.24	3.54	1.43	0.30
b_{AOB}	d^{-1}	0.067	0.017	0.17	0.073	0.061
b_{NOB}	d^{-1}	0.05	0.012	0.18	0.13	0.051

The calibrated values should be carefully interpreted, as the uncertainty of the estimated values was not verified.

the reactor behavior is clearly influenced by changes in the microbial community (Vannecke et al., 2015). Nevertheless, these unnoticed changes in microbial composition and diversity of the (nitrifying) community may have important effects on the reactor performance and process stability on the long term, for example, when facing disturbances (Daims et al., 2001; Egli et al., 2003; Ramirez et al., 2009) and/or to allow operational flexibility (Bougard et al., 2006b).

No concentration profiles could be observed in the biofilm. The steady state biofilm thickness was indeed very small, reducing the effect of internal mass transfer limitation, similar to the observations in Vannecke et al. (2014) for the same biofilm reactor type. The relatively high NOB diversity observed probably did not result from niche separation due to diffusional substrate gradients but rather from a non-uniform biomass distribution over the particles: some particles will be covered with a very thin biofilm while others are covered with a thicker biofilm, allowing different species to occupy different niches.

Approach 3: Model Including Within-Guild Diversity (2 AOB and 2 NOB) for Whole Period

As Period A and Period B could be described individually by a single AOB and a single NOB species (see Table VI for their characteristics), these two AOB and two NOB populations, were integrated in a single model also containing one heterotrophic population.

However, it was not possible to calibrate the resulting model in terms of the bulk liquid concentrations of ammonium, nitrite and nitrate over the complete experimental period of 592 days (Supplementary Information, Fig. S3). The model predicted nitrate accumulation for the whole experimental period; the overall fit of the simulation results for bulk liquid nitrogen concentrations was very low ($\chi^2_{tot} = 3204$); the model efficiencies for total ammonium ($E_{TNH} = -0.44$), total nitrite ($E_{TNO_2} = -1.26$), and especially for nitrate ($E_{NO_3} = -5.19$) were negative.

As for the microbial community composition, the AOB and NOB species of Period A remained dominant also during Period B, while the AOB and NOB species of Period B should become dominant after 100 days. The species of period B did not survive the severe DO

drop from day 50 onwards (see Fig. S2 in the Supplementary Information), due to their lower affinity for oxygen than the species from Period A. In the real system, the species of Period B could have invaded the system by attachment from the bulk liquid, while in the model attachment was neglected and the influent was assumed to contain no bacterial species. Alternatively, the populations of Period A could have been acclimated to the conditions in Period B, which also can result in changed parameter values. Furthermore, as calibrated parameters such as the affinity constants may describe apparent features, lumping other phenomena such as diffusion (Arnaldos et al., 2015), these phenomena may have been changing over the experimental period.

Conclusions

The influence of process dynamics on the nitrifying microbial community in a biofilm reactor controlled for nitrite accumulation, was analyzed.

- The correlation analysis confirmed that temperature, pH, bulk liquid oxygen concentration and nitrogen loading rate all constitute suitable control handles for process operation in terms of nitrogen conversion.
- The experimental observations could only be described with a model considering a single (lumped) AOB and a single NOB, besides a single heterotrophic population, when the microbial parameters for AOB and NOB were calibrated separately for two operating periods resulting from an increased nitrogen loading rate and associated drop in the bulk liquid oxygen concentration. This indicated a change of the AOB and NOB guild.
- The diversity of the total bacterial community resembled the diversity of the NOB, while the diversity of the AOB was much lower. A large number of small, subdominant NOB populations was presumably present in the biofilm. The calibrated AOB parameter values for the two different periods correspond with two different AOB species (*N. halophila* and *N. europaea*), while the NOB parameters represent two different NOB guilds.

- As revealed through CE-SSCP analysis, the two different control strategies for nitrite accumulation influenced the microbial diversity. As modelling microbial diversity was unnecessary to reproduce the reactor performance during the period in which both control strategies were applied, the changes in diversity did not influence the reactor performance instantaneously. However, one cannot exclude that such changes in diversity influence the reactor performance and process stability in the long term.

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